

Zero % Brokerage

Save up to 90% of your trading costs

**Trade in Equities, F&O,
Commodities &
Currency**

₹ **9** / trade

₹ **999** / month

Products By SASO

Bracket Order

Get higher Intra-day leverage with option to place target and stop loss in the same order with increased protection

Equity Plus

Get upto 5 times exposure on Equity Delivery, Exposure on over 300+ stocks, With only 1 Lakh you can buy stocks up to 5 Lakhs

Margin Plus

Margin Plus allows you to unlock the power of your portfolio. Get exposure / margin against your shares by placing them as collateral



S.N	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instruction/check list.	5-6 & 16
		B. Document captures the additional information about the Constituent relevant to trading account and an instruction /check list.	7-9
2	Rights and Obligations	Document stating the Rights & Obligations of commodity broker /trading member and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Ann-1
3	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the Commodities market.	Ann-2
4	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	Ann-3
5	Policies and Procedures	Document describing significant policies and procedures of Commodity Broker.	Ann-4
6	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the commodity exchange(s).	10
VOLUNTARY AND OPTIONAL DOCUMENTS AS PROVIDED BY THE COMMODITY BROKER			
7	Undertaking	Contains voluntary undertakings by the client with respect to SASS ONLINE COMMODITIES PVT LTD.'s internal operations during the course of the relationship with SASS ONLINE COMMODITIES PVT LTD.	11-12
8	Authorization for Electronic Contract Notes	Client consent letter for receiving the contract notes by Email.	13
9	Declaration & Authorization For Account Modification	Client consent letter for receiving SMS from SASS ONLINE COMMODITIES PVT LTD. and a client declaration stating that he is not a defaulter. Authorization for account detail modification	13-14
10	Running Account Authorization	Letter of Authorization for maintaining a Running Account with SASS ONLINE COMMODITIES PVT LTD.	14
11	Mobile number and email authorization	Declaration of an individual's personal information for updating mobile number and email address.	15

SASS ONLINE COMMODITIES PVT LTD.

Regd. Office: - 3rd Floor , Building No. 5 , Local Shopping Complex , Rishabh Vihar, Near Karkarduma Metro Station . East Delhi – 110092

Phone: 011-40409999 website www.sasonline.in

Correspondence address: same as above

MCX SEBI Registration No - INZ000089835

Compliance officer Name: R K Jain

CEO Name: Shrey Jain

Phone No. & mail ID: 011-40409999, support@sasonline.in

011-47377200, support@sasonline.in

For any grievance please contact SASS ONLINE COMMODITIES PVT LTD. at the above address or email grievance@sasonline.in and Phone no. 91-011-40409999

In case not satisfied with the response, please contact the concerned exchange at:

MCX: grievance@mcxindia.com or contact at 91-22-67318888

INSTRUCTION/CHECKLIST FOR FILLING KYC FORM**A. Important Points: -**

1. Self attested copy of PAN card is mandatory for all clients, including Promoters /Partners/Karta/Trustees and whole time directors and persons authorized to deal in Commodities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. Non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI):- List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport t/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card / document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary Public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/ sub account, Power of Attorney given by FII/ sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds up to Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.

F. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of Assets.	Self declaration with relevant supporting documents.

*In respect of other clients, documents as per risk management policy of the Commodity Broker need to be provided by the client from time to time.

G. Copy of cancelled cheque leaf/pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.**H. Demat master or recent holding statement issued by DP bearing name of the client.****For Individuals:**

- a. Commodity Broker has an option of doing 'in-person' verification through web camera at the branch office of the Commodity Broker/sub-broker's office.
- b. In case of non-resident clients, employees at the Commodity Broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the Commodity Broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

For non-individuals:

- a. Form need to be initialized by all the authorized signatories.
- b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in Commodities on behalf of company/others & their specimen signatures

To

SASS ONLINE COMMODITIES PVT LTD.

3rd Floor , Building No. 5 , Local Shopping Complex,
Rishabh Vihar, Near Karkarduma Metro Station.
East Delhi – 110092

Sub: Acknowledgement

This is to acknowledge the receipt of following documents.

S. No	Brief significance of the Document
1.	Rights and Obligations
2.	Risk Disclosure document (RDD)
3.	Guidance Note – do's and dont's
4.	Policy and Procedure
5.	Executed copy of KYC

I further state and confirm that I have read and understood all the clauses of aforesaid document.

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of SASS ONLINE COMMODITIES PVT LTD.

Yours faithfully,



A 1 Client Signature

Date: _____



Important Instructions:

- A) Fields marked with "*" are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only

Application Type*

- New Update

(To be filled by financial institution) KYC Number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Mandatory for KYC update request)

Account Type*

- Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				
Date of Birth*	DD - MM - YYYY			
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F- Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others (ISO 3166 Country Code <input type="text"/> <input type="text"/>)		
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S-Service (<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector)			
	<input type="checkbox"/> O-Others (<input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student)			
	<input type="checkbox"/> B-Business			
	<input type="checkbox"/> X- Not Categorised			

PHOTO

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2. TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth*

ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Passport Expiry Date	DD - MM - YYYY
<input type="checkbox"/> B- Voter ID Card	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
<input type="checkbox"/> C- PAN Card	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
<input type="checkbox"/> D- Driving Licence	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Driving Licence Expiry Date	DD - MM - YYYY
<input type="checkbox"/> E- UID (Aadhaar)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
<input type="checkbox"/> F- NREGA Job Card	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
<input type="checkbox"/> Z- Others (any document notified by the central government)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Identification Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<input type="checkbox"/> S- Simplified Measures Account - Document Type code	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Identification Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* Residential / Business Residential Business Registered Office Unspecified

Proof of Address* Passport Driving Licence UID (Aadhaar)

Voter Identity Card NREGA Job Card Others

Simplified Measures Account - Document Type code

Address

Line 1*

Line 2

Line 3

District*

City / Town / Village*

Pin / Post Code*

State / U.T Code*

ISO 3166 Country Code*

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1*

Line 2

Line 3

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)

Same as Current / Permanent / Overseas Address details

Same as Correspondence / Local Address details

Line 1*

Line 2

Line 3

State* ZIP / Post Code* City / Town / Village* ISO 3166 Country Code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off) - Tel. (Res) - Mobile -

FAX - Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (please refer instruction G at the end)

Addition of Related Person

Deletion of Related Person

KYC Number of Related Person (if available*)

Related Person Type*

Guardian of Minor

Assignee

Authorized Representative

Name*

Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY [PoI] OF RELATED PERSON* (Please see instruction (H) at the end)

A- Passport Number Passport Expiry Date

B- Voter ID Card

C- PAN Card

D- Driving Licence Driving Licence Expiry Date

E- UID (Aadhaar)

F- NREGA JobCard

Z- Others(any document notified by the central government) Identification Number

S- Simplified Measures Account - DocumentType code Identification Number

7. REMARKS (If any)

8. APPLICANT DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date :

Place :

[Signature / Thumb Impression]

Signature / Thumb Impression of Applicant



9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received

Certified Copies

KYC VERIFICATION CARRIED OUT BY

Date

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name

Code

[Institution Stamp]

10. OTHER DETAILS**1. Gross Annual Income Details (please specify): Income Range per annum:**

Below Rs 1 Lac | 1-5 Lac | 5-10 Lac | 10-25 Lac | >25 Lacs | or

Net-worth as on (date) _____ (_____) (Net worth should not be older than 1 year)

2. Occupation (Please tick whichever is applicable)Private Sector Public Sector Government Service Business Professional Agriculturist Retired Housewife Student Self Employed Others (please specify) _____

Brief Details of Nature of Business/Service _____

3. Please tick, if applicable: Politically Exposed Person (PEP) | Related to a Politically Exposed Person (PEP) | |**A. BANK ACCOUNT DETAILS**

	PRIMARY BANK ACCOUNT	SECONDARY BANK ACCOUNT
Bank Name		
Branch Address		
Bank Account No.		
Account Type:	Savings <input type="checkbox"/> Current <input type="checkbox"/> Others <input type="checkbox"/>	Savings <input type="checkbox"/> Current <input type="checkbox"/> Others <input type="checkbox"/>
MICR Number		
IFSC code		

B. DEPOSITORY ACCOUNT(S) DETAILS

i. Depository Participant Name: _____

ii. Depository Name: NSDL | CDSL |

iii. Beneficiary Name: _____

iv. DP Id. _____ BO Id. _____

C. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ commodity exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in Commodities during the last 3 years

D. DEALINGS THROUGH SUB-BROKERS AND OTHER COMMODITY BROKERS

If client is dealing through the sub-broker, provide the following details:

Sub-broker's Name: _____ SEBI Registration number: _____

Registered office address: _____

Ph: _____ Fax: _____ Website: _____

Whether dealing with any other Commodity Broker/sub-broker (if case dealing with multiple Commodity Brokers/sub-brokers, provide details of all) Name of Commodity Broker: _____ Name of Sub-Broker, if any _____

Client Code: _____ Exchange: _____

Details of disputes/dues pending from/to such Commodity Broker/sub-broker: _____

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

	Futures & Options
MCX	<input checked="" type="checkbox"/> A 4 (a) <input checked="" type="checkbox"/>

If, in future, the client wants to trade on any new segment, a separate authorization/letter should be taken from the client by the broker.

E. SALES TAX REGISTRATION DETAILS (As applicable, State wise)

Local Sales Tax State Registration No. : _
 Validity Date : _____
 Name of the State : _____
 Central Sales Tax Registration No : _____
 Validity Date : _____
 Name of the State : _____

F. VATDETAILS (As applicable, State wise)

Local VAT Registration No. : _____
 Validity Date : _____
 Name of the State : _____
 Other VAT Registration No. : _____
 Name of the State : _____
 Validity Date : _____

11. ADDITIONAL DETAILS

i. Whether you wish to receive (a) Physical contract note || (b) Electronic Contract Note (ECN) ||

If ECN specify your Email id: _____

ii. Whether you wish to avail of the facility of internet trading/ wireless technology/mobile trading YES NO

iii. Number of years of Investment /Trading Experience: _____

12. INTRODUCER DETAILS (optional)

Name of the Introducer: _____
 Status of the Introducer: Sub-broker/Remisier/Authorized Person/Existing Client: Client ID _____
 Others, please specify _____
 Address of the Introducer: _____
 Phone no. of the Introducer: _____
 Signature of the Introducer: _____

13. NOMINATION DETAILS (please tick the appropriate option)

I/We do not wish to nominate

I/We wish to make a nomination and do hereby nominate the following person in whom all rights and/or amount payable in respect of funds in my trading account shall vest in the event of my/our death.

Name of the Nominee: _____ Relationship with the Nominee: _____

PAN of Nominee: _____ Date of Birth of Nominee: _____

Address of the Nominee: _____

Phone No. of Nominee: _____

If Nominee is a minor, details of Guardian:

Name of Guardian: _____ Address of Guardian: _____

Phone no. of Guardian: _____ Signature of Guardian: _____

WITNESSES (Only applicable in case the account holder has made nomination)

Witness Name:	Witness Name:
Signature	Signature:
Address:	Address:



Client Signature

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the Commodity Broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the „Rights and Obligations“ document(s) and „Risk Disclosure Document“. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Commodity Broker’s designated website, if any.

FOR OFFICE USE ONLY

I / We undertake that we have made the client aware of „Policy and Procedures“, tariff sheet and all the non mandatory documents. I/We have also made the client aware of „Rights and Obligations“ document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the „Policy and Procedures“, tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the „Rights and Obligations“ and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorized Signatory

Date _____

Seal/Stamp of the Commodity Broker

SASS ONLINE COMMODITIES PVT LTD. TARIFF SHEET

To

SASS ONLINE COMMODITIES PVT LTD.

3rd Floor, Building No. 5, Local Shopping Complex,
Rishabh Vihar, Near Karkarduma Metro Station.
East Delhi – 110092

Sub: Charges for SASS ONLINE COMMODITIES PVT LTD. Trading Services

Dear Sir or Madam,

I would like to subscribe to the SASS ONLINE COMMODITIES PVT LTD. plans and request SASS ONLINE COMMODITIES PVT LTD. to apply any applicable tariff as per the terms and conditions of SASS ONLINE COMMODITIES PVT LTD.

I hereby authorize SASS ONLINE COMMODITIES PVT LTD. to debit my ledger for the subscription amount as per terms and conditions of SASS ONLINE COMMODITIES PVT LTD. Rs. 999 for Commodity per month unlimited Plan, if I choose to subscribe to either plan

Rs. 9 Per executed order Plan

For no monthly fees, you will be allowed to trade in MCX Commodities at Rs. 9 per executed order or 0.01% whichever is lower.

Rs. 999 Per Month unlimited Plan

The Rs. 999 per month unlimited Plan provided for a monthly fee of Rs. 999 only. You can do unlimited trading in MCX Commodities .

FOR MCX Commodities - PLEASE SELECT ONLY ONE

Rs. 9 Per order traded Plan **Rs. 999 per month unlimited Plan.**

In addition to the brokerage charge the following charges will also be levied

Schedule of charges:

- | | |
|--------------------------------|--|
| 1. Transaction Charges | 4. Stamp Duty |
| 2. Commodities Transaction Tax | 5. Education and Higher Education Cess |
| 3. GST | 6. SEBI Turnover Fees |

Terms and Conditions can be subject to change.

The terms and conditions of the SASS ONLINE COMMODITIES PVT LTD. plans has been read and understood by me. I wish to avail the plans below provided by SASS ONLINE COMMODITIES PVT LTD. my trading account subject to the terms and conditions mentioned above


 Client Signature

Date: _____

SASS ONLINE COMMODITIES PVT LTD.

3rd Floor, Building No. 5, Local Shopping Complex, Rishabh Vihar, Karkarduma, East Delhi – 110092

SUB : UNDERTAKING / AUTHORISATION

With respect to Member-Constituent Relationship and Mandatory and Voluntary (optional) Documents executed between us, I / We do hereby authorize SASS ONLINE COMMODITIES PVT LTD. to do the following:

1. Order Placement Instructions

I understand that you require written instructions from me for placing / modifying / cancelling orders. However, since it is not practical for me to give written instructions for placing/modifying/cancelling order. Even if I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting /calling/ Emailing the Call centre / branch specified for the said purpose by SASS ONLINE COMMODITIES PVT LTD. in case of breakdown of internet connectivity or other similar reasons.

I hereby request you to kindly accept my, my authorized representative or mandate holder's verbal orders/instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS and other modes. I indemnify SASS ONLINE COMMODITIES PVT LTD. and its employees against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my instructions for orders placed verbally.

2. ERRORS AND OMISSIONS

I understand and agree that inadvertent errors may occur, while executing orders placed by me. In such circumstances SASS ONLINE COMMODITIES PVT LTD. shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold SASS ONLINE COMMODITIES PVT LTD. responsible beyond this and claim additional damages/loss. I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by SASS ONLINE COMMODITIES PVT LTD.

3. NO MARKET MANIPULATION

I undertake not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchanges. In case I am found to be indulging in such activities, SASS ONLINE COMMODITIES PVT LTD. has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

4. NOT TO ACT AS UNREGISTERED SUB BROKER

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients. In case I wish to deal for other clients also, I undertake to apply to SEBI through SASS ONLINE COMMODITIES PVT LTD. to obtain a sub broker registration. In case SASS ONLINE COMMODITIES PVT LTD. perceives that I am acting as an unregistered sub broker, SASS ONLINE COMMODITIES PVT LTD. has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me to SASS ONLINE COMMODITIES PVT LTD. without the requirement of any notice from SASS ONLINE COMMODITIES PVT LTD. Further, SASS ONLINE COMMODITIES PVT LTD. has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify SASS ONLINE COMMODITIES PVT LTD. from any loss/damage/claim arising out of such activity.

5. NOT DEBARRED BY ANY REGULATOR

I confirm and declare that there is no bar on me imposed by any Exchange or any Regulatory and/or Statutory authority to deal in Commodities directly or indirectly. I agree to inform SASS ONLINE COMMODITIES PVT LTD., in writing, of any regulatory action taken by any Exchange or Regulatory/ Statutory authority on me in future. In case I fail to inform the same and SASS ONLINE COMMODITIES PVT LTD. on its own comes to know of such action, SASS ONLINE COMMODITIES PVT LTD. has the right to suspend/close my trading account and refuse to deal with me. Also, SASS ONLINE COMMODITIES PVT LTD. can at its sole discretion, close all the open positions and liquidate collaterals to the extent of debit balances, without any notice to me.

6. PMLA DECLARATION

I declare that I have read and understood the contents and the provisions of the PMLA Act, 2002, which were also explained to me by SASS ONLINE COMMODITIES PVT LTD. officials. I further declare that I shall adhere to all the provisions of PMLA Act, 2002.

I further undertake and confirm that;

- a. I do not have any links with any known criminal
- b. I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

7. INDEMNIFICATION

I hereby indemnify and hold SASS ONLINE COMMODITIES PVT LTD., its Directors and employees harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the SASS ONLINE COMMODITIES PVT LTD. directly or indirectly, relating to bad delivery of shares/ Commodities and/ or third party delivery, whether authorized or unauthorized and fake/forged/stolen shares/ securities/transfer documents introduced or that may be introduced by or through me during the course of my dealings/ operations on the Exchange(s) and/ or proof of address, identity and other supporting/ documents provided by me at the time of registration and/ or subsequently.

INDEMNITY OF JOINT HOLDINGS

I hereby agree to indemnify and hold the SASS ONLINE COMMODITIES PVT LTD. harmless from any claims, demands, actions, proceedings, losses, damages, liabilities, charges, and /or expenses arising from transactions in Commodities held jointly by me with any other person or persons, if any.

8. MUTUAL FUND SERVICE SYSTEM (MFSS) FACILITY

I am interested in availing the MFSS facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS of the Exchange. For the purpose of availing the MFSS facility, I state that "Know Your Client" details as submitted by me for the opening of Trading Account may be considered for the purpose of MFSS and I/we further confirm that the details contained in same remain unchanged as on date. I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by Commodities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

9. NRI DECLARATION

I understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Inward Remittance Certificate (FIRC) to SASS ONLINE COMMODITIES PVT LTD. every time the investment is made.

A. THIRD-PARTY PAYMENTS

SASS ONLINE COMMODITIES PVT LTD. shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitting a written request along-with adequate proof thereof as per Performa prescribed by SASS ONLINE COMMODITIES PVT LTD. SASS ONLINE COMMODITIES PVT LTD. shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by SOUTH ASIAN STOCKS LTD. and the credit for the same has been given in the client's ledger, SASS ONLINE COMMODITIES PVT LTD. shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case, SASS ONLINE COMMODITIES PVT LTD. reserves the right to liquidate any of the open positions and/or any of the collaterals received/ held on behalf of the client. SASS ONLINE COMMODITIES PVT LTD., its Directors and employees shall not be responsible for any consequential damages or losses.

B. NO DEALINGS IN CASH

SASS ONLINE COMMODITIES PVT LTD. as a policy neither accepts any funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub-Broker/Remisier/Employee/Authorised Person of SASS ONLINE COMMODITIES PVT LTD.

C. SQUARING OFF OF POSITIONS & SALE /LIQUIDATION OF COLLATERAL MARGINS

The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds.

In case the client fails to settle the transactions within the settlement date, then SASS ONLINE COMMODITIES PVT LTD. has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed. SASS ONLINE COMMODITIES PVT LTD., its Directors and Employees shall not be responsible for any loss or damages arising out of such square offs. All such square off transactions shall have implied consent and authorization of the client in favor of SASS ONLINE COMMODITIES PVT LTD.

If there is any margin shortage in future position, than there will be no call from sas online, your position may be squared off, please plan your trade in advance and make you that you have sufficient funds available in your account, further you can call on support desk for any margin related query.

After such square off of open positions by SASS ONLINE COMMODITIES PVT LTD., as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balance, SASS ONLINE COMMODITIES PVT LTD. shall have the right to liquidate the shares and other Commodities of the client (kept as collateral/margin) to the extent of the debit balance, without any intimation to the client. The client shall not have the right to decide on the timing of liquidation of shares and Commodities held in collateral/margin and the shares and Commodities that needs to be sold or liquidated. SASS ONLINE COMMODITIES PVT LTD., its Directors and employees shall not be responsible for any loss or damages arising out of such selling.



A7

Client Signature

LETTER OF AUTHORIZATION FOR ELECTRONIC CONTRACT NOTES

Date: _____

To

SASS ONLINE COMMODITIES PVT LTD.

3rd Floor, Building No. 5, Local Shopping Complex,
Rishabh Vihar, Near Karkarduma Metro Station.
East Delhi – 110092

Dear Sir,

Sub: Authorisation for Electronic Contract Notes

I/We have been/shall be dealing through you as my/our broker on the Capital Market and/or Futures & Options and/or Currency Derivatives and or Interest Rate Futures Segments. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/dealings on my/our behalf as per instructions given below.

I/We understand that, I/We have the option to receive the contract notes in physical form or electronic form. In pursuance of the same, I/We hereby opt to receive contract notes in electronic form. I/We understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the electronic contract notes. Accordingly, please take the following email account /email id on your record for sending the contract notes to me/us:

Enter Email ID

I/We also agree that non-receipt of bounced mail notification by you shall amount to delivery at my/our email account / Email id.

I/We agree not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.

I/We agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any non-receipt/delayed receipt for any reason whatsoever.

I/We understand that I am required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter to you, provided however that if I/We am/are an internet client then in that event the request for change in email id/email account can be made by me/us through a secured access using client specific user id and password. Please treat this authorization as written ratification of my/our verbal directions/authorizations given and carried out by you earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above.

MOBILE NUMBER DECLARATION

I _____ having PAN _____ do hereby declare that my


Mobile no. is _____ Further, I authorize SASS ONLINE COMMODITIES PVT LTD. that the same may be used for

giving me any information/ alert/ SMS.

I further declare the above mentioned statement is true and correct.

Date: _____



 Client Signature

DEFAULTER DECLARATION

I _____ having PAN _____ do hereby declare that I have not been involved in any terrorist activities and I have not been declared a defaulter or my name is not appearing in defaulter database as per SEBI/ Various Exchange/ Regulatory bodies, etc.

I further declare that the above mentioned declaration/ statement are true and correct.

Date: _____



Client Signature

RUNNING ACCOUNT AUTHORIZATION

Date: _____

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment and/or Interest Rate future Segment & in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise.
2. I/We request you to retain Commodities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.
3. I/We request you to settle my fund and Commodities account
 - Once in a calendar Month
 - Once in every calendar Quarter except the funds given towards collaterals / margin in form of Bank Guarantee and /or Fixed Deposit Receipt
4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligation for next 5 trading days, calculated in the manner specified by the exchanges.
5. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/Commodities or statement of account or statement related to it, as the case may be at your registered office.
6. I/We confirm you that I can revoke the above mentioned authority at any time.



Client Signature

AUTHORIZATION FOR ACCOUNT MODIFICATION

If I/we were to add/modify/remove any of my account details including bank a/c, brokerage plans with SASS ONLINE COMMODITIES PVT LTD. , I/we authorize you to carry it out based on my request sent through an email to support@sasonline.in from my registered email address or intimation through an interface provided by you, whereto I have been allowed secured access.

If you feel the need to do so, then at your own discretion, you may put in place appropriate mechanism to confirm the re- quest before or after its execution by way of a call from a recorded line, or otherwise, personal meeting, SMS or other such other mode as you may deem fit.

Date: _____



Client Signature

AUTHORIZATION FOR MOBILE NO. & E-MAIL ID

I Name _____ do hereby authorize SASS Online Commodities Pvt Ltd.; Member MCX to map the mobile no. _____ and e-mail Id _____ of our family member; Mr./ Ms. _____; in my account no. _____ as my authorized representative/ attorney as I am unable to handle and excess the mobile phone and mail Id due to _____. I will be fully responsible for all the transactions done by him/ her on my behalf through this mobile no. and mail Id and all the communications received on this will mobile no. or mail Id will be treated as mine.



_____ Client Signature

Client's Name: _____

SASS ONLINE COMMODITIES PVT LTD.

Regd. Off. : 3rd Floor , Building No. 5 , Local Shopping Complex ,
Rishabh Vihar, Near Karkarduma Metro Station . East Delhi – 110092

Please fill this form in English and BLOCK Letters

A IDENTITY DETAILS

<input type="checkbox"/> 1. Name of the Applicant <small>As per PAN Card</small>		Please affix your recent passport size photograph and sign across it
<input type="checkbox"/> 2. Father's/Spouse's Name		
<input type="checkbox"/> 3a. Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="checkbox"/> 3b. Marital Status <input type="checkbox"/> Single <input type="checkbox"/> Married	<input type="checkbox"/> 3c. Date of Birth
<input type="checkbox"/> 4a. Nationality <input checked="" type="checkbox"/> Indian <input type="checkbox"/> Other (Please Specify) _____		
<input type="checkbox"/> 4b. Status <input checked="" type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Foreign <input type="checkbox"/> National		
5a. PAN		Please enclose a duly attested copy of your PAN Card
<input type="checkbox"/> 5b. Unique Identification Number (UID) / Aadhar, if any		
<input type="checkbox"/> 6. Specify Proof of Identity Submitted <input type="checkbox"/> Pan Card <input type="checkbox"/> Other (Please Specify) _____		

B ADDRESS DETAILS

<input type="checkbox"/> 1. Residence/Correspondence Address	
City/Town/Village	Pin Code
State	Country

2. Specify the Proof of Address Submitted for Residence / Correspondence Address: _____

3. Contact Details

Telephone (Office)	Fax
Telephone (Residence)	Mobile No
Email ID	

4. Permanent Address (If different from above, Mandatory for Non-Resident Applicant to specify overseas address)

City/Town/Village	Pin Code
State	Country

5. Specify the Proof of Address Submitted for Permanent Address: _____

C DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Place: _____ Date

D	D	M	M	Y	Y	Y	Y
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 Client Signature

FOR OFFICE USE ONLY

In Person Verification (IPV) Details:

Name of the Person who has done the IPV: _____ Employee ID: _____

Designation: _____

Name of the Organization: SASS ONLINE COMMODITIES PVT LTD.

Date of the IPV:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 Signature of the Person who has done the IPV Seal/Stamp of the Intermediary

Originals Verified and Self-Attested Document Copies Received

Date Signature of the Authorized Signatory